

## CHANGES APRIL 1, 2013 THROUGH JUNE 28, 2013

**TITLE 17—COMMODITY AND SECURITIES EXCHANGES****Chapter I—Commodity Futures Trading Commission (Parts 1—199)**

1 Technical correction .....	21045
1.12 (g)(3) and (h) amended .....	22419
1.17 (c)(6)(ii)(A), (B) and (D) amended .....	22419
1.65 (d) amended .....	22419
1.66 (b)(5)(ii) amended .....	22419
3.12 (f)(5) added .....	20791
3.22 Introductory text amended .....	22419
3.50 (c) and (d) amended .....	22419
3.55 (e)(2) amended .....	22419
3.56 (e)(2) amended .....	22419
3.63 Amended .....	22419
3.70 (a) amended .....	22419
3 Appendix A amended .....	22419
5.6 (f)(3) and (h) amended .....	22419
5.20 (d) amended .....	22419
5.23 (f) amended .....	22419
9.2 (h) amended .....	22419
9.26 Amended .....	22419
9.31 (a) amended .....	22419
11.2 (a) amended .....	22419
23.22 (c) added .....	20792
23.505 (a) introductory, (2) and (5) correctly revised .....	21046
31.13 (n)(1) amended .....	22419
31.14 (a) amended .....	22419
37 Revised; eff. in part 8-5-15.....	33582
37.10—37.12 (Subpart A) Amended .....	
38 Appendix C correctly added .....	32988
38.11 Added .....	33630
38.12 Added .....	33631
40.7 (a)(1), (2), (3), (4), (b) and (c) amended .....	22419
41.3 (d) amended .....	22419
43 Authority citation revised .....	32937
43.2 Amended .....	32937
43.4 (d)(4)(i), (ii)(B) and (h) revised; (d)(4)(iii) added .....	32937
43.6 Added .....	32938
43.7 Added .....	32940
43 Appendix B amended .....	32940
Appendices D and E Added .....	32941
Appendix F Added .....	32942
50 Heading revised .....	21783
50.52 Added .....	21783
140 Authority citation revised .....	21523,
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140.72 (a) amended .....	22419
Heading, (a), (b), (d) and (f) amended .....	21523
140.75 Amended .....	22420
140.76 (a) and (b) amended .....	22420
140.91 Section, (a) and (b) amended .....	22420
140.92 (a), (b) and (c) amended .....	22420
140.93 Heading, (a), (b) and (c) amended .....	22420
140.94 Revised .....	22421
140.95 (a), (b) and (c) amended .....	22420
140.96 (b), (c) and (d) amended .....	22420
140.99 (a)(5) amended .....	22420
(d)(2) revised .....	22421
145 Appendix A amended .....	22420
162.30—162.32 (Subpart C) added .....	23660
162 Appendix B added .....	23661
170.12 Heading and section amended .....	22420
171.28 Amended .....	22420
171.31 (a) amended .....	22420
170.72 Heading, (a), (b), (d) and (f) amended .....	21523
190.10 (b)(4), (d) heading, (1), (2) and (3) amended .....	22420

**Chapter II—Securities and Exchange Commission (Parts 200—399)**

232.301 Revised .....	29617
240.19b-4 (f)(4)(ii) revised .....	21057
248 Authority citation and heading revised .....	23663
248.201—248.202 (Subpart C) added .....	23663
249.819 Form 19b-4 amended .....	21057

*Proposed Rules:*

23 .....	20848
210 .....	36834
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